NOTIFICATION FOR AN INTRAGROUP EXEMPTION FROM REPORTING IN ACCORDANCE WITH ARTICLE 9(1) EMIR, AS AMENDED BY REGULATION 2019/834

# **Identification of involved group entities**

## Information on applicant entity

*Please provide details on the entity applying for an intragroup exemption from reporting (in accordance with Article 9(1) EMIR, as amended by regulation 2019/834).*

|  |  |
| --- | --- |
| **Identification of the notifying person** | |
| Company Name: | *Click or tap here to enter company name.* |
| LEI: | *Click or tap here to enter company LEI.* |
| Contact person: | *Click or tap here to enter contact person’s name.* |
| Email/ IGT User ID: | *Click or tap here to enter contact person’s email address.* |
| Department: | *Click or tap here to enter contact person’s department.* |
| Function: | *Click or tap here to enter contact person’s function.* |
| Phone: | *Click or tap here to enter contact person’s telephone number.* |

## **Information on Austrian entities / intragroup counterparty pairs**

*Details on each intragroup counterparty pair involving an Austrian entity, for which an intragroup exemption from reporting (in accordance with Article 9(1) EMIR, as amended by regulation 2019/834) is applied, shall be provided in the* ***IGT counterparty pairs RepExemp*** *template (see link on* [*FMA website*](https://www.fma.gv.at/download.php?d=4132)*). Upon completion, please upload the file in the* [*IGT tool*](https://webhost.fma.gv.at/IGT/frmLogin.aspx?ReturnUrl=%2fIGT)*.*

## **Information on parent undertaking**

*Please provide information on the group’s parent undertaking.*

|  |  |
| --- | --- |
| Ultimate parent undertaking | |
| Company Name: | *Click or tap here to enter the parent undertaking’s name.* |
| Company LEI: | *Click or tap here to enter the parent undertaking’s LEI.* |

## **Information on group company responsible for centralised risk management**

*Please provide information on the group company responsible for the centralized risk management (RM company).*

|  |  |
| --- | --- |
| Group company responsible for centralised risk management | |
| Company Name: | *Click or tap here to enter the RM company’s name.* |
| Company LEI: | *Click or tap here to enter the RM company’s LEI.* |

## **Organisational structure**

*Please attach information on the organisational structure of the group companies stated under items A. to D in your* [*IGT tool*](https://webhost.fma.gv.at/IGT/frmLogin.aspx?ReturnUrl=%2fIGT) *submission. You may share a**dditional information on the organisational structure in the section below.*

*Click or tap here to add any additional information on the organisational structure you deem necessary or useful.*

# **Exemption criteria**

*Please share information on the exemption criteria per Article 9(1) EMIR, as amended by regulation 2019/834.*

## **Exemption criteria confirmations**

*Please tick the boxes as appropriate.*

|  |  |  |
| --- | --- | --- |
| Same consolidation | | |
|  | YES | Are both counterparties in each of the intragroup pairs involving an Austrian entity included in the same consolidation on a full basis? |
|  | NO |

|  |  |  |
| --- | --- | --- |
| Centralised risk management | | |
|  | YES | Are both counterparties in each of the intragroup pairs involving an Austrian entity subject to appropriate centralised risk evaluation, measurement and control procedures? |
|  | NO |

|  |  |  |
| --- | --- | --- |
| Parent undertaking | | |
|  | YES | Is the parent undertaking registered in an EU member state? |
|  | NO |
|  | YES | Is the parent undertaking a financial counterparty per Article 2(8.) EMIR? |
|  | NO |

## **Centralised Risk Management**

*Please share evidence that where an intragroup counterparty pair (see item I.B.) involves an Austrian entity, both counterparties are subject to appropriate centralised risk evaluation, measurement and control procedures according to Article 3 EMIR.*

*You may also attach your relevant documentation in the* [*IGT tool*](https://webhost.fma.gv.at/IGT/frmLogin.aspx?ReturnUrl=%2fIGT) *submission.*

### Risk management policies and controls

*Please describe the risk management policies and controls and how they are centrally defined and applied.*

*Please enter he requested information here.*

### Senior Management

*Please demonstrate that senior management is responsible for risk management and that risk measurement is reviewed regularly.*

*Please enter the requested information here.*

### Transparent communication mechanisms

*Please demonstrate that regular and transparent communication mechanisms are established in the organisation so that the management body, senior management, business lines, risk management function, and other control functions can all share information about risk measurement, analysis and monitoring.*

*Please enter the requested information here.*