1. By mail to:
Finanzmarktaufsicht (FMA)
z.Hd. Abt. III/1
Otto Wagner Platz 5
1090 Vienna
AT
**AND**
2. by email to:
shortselling@fma.gv.at

**Notification of intent to make use of the exemption under Article 17 (3) of Shortselling Regulation[[1]](#footnote-1) – Authorised Primary Dealer**

|  |  |
| --- | --- |
| **1.** | **Details of notifying party** |
|  | Full company name |  |
|  | BIC (if available) |  |
|  | Address |  |
|  | Country |  |
| **2.** | **Contact person** |
|  | First name |  |
|  | Last name |  |
|  | Phone number |  |
|  | Email address |  |
| **3.** | **Details of primary dealer operations** |
|  | Name of Sovereign Issuer |  |
|  | List of Instruments  | Please always provide a separate spreadsheet in CSV format including the financial instruments |

Date and signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Important note:**

**It is mandatory to submit a copy of the agreement/recognition signed with a sovereign issuer**

1. Regulation EU No. 236/2012 of the European Parliament and the Council of 14 March 2012 on short selling and certain aspects of Credit Default Swaps [↑](#footnote-ref-1)