**Declaration by the supervised entity**

**This declaration concerns a notification concerning the change in the person appointed as[[1]](#footnote-1) Wählen Sie ein Element aus. according to2 Wählen Sie ein Element aus.**

**It will be reviewed by Financial Market Authority (FMA) and the European Central Bank (ECB).**

The undersigned:

confirms that the information provided in this form and in the annexes attached thereto is accurate and complete to the best of his/her knowledge;

confirms that the supervised entity will notify the FMA immediately if there is a material change\* in the information provided;

confirms that the supervised entity has requested the full information necessary to assess the appointee’s suitability and that it has given due consideration to that information in determining the appointee to be fit and proper;

confirms that the description of the function for which a positive assessment is sought accurately reflects the aspects of the activities of the supervised entity which it is intended that the appointee will be responsible for;

confirms that the supervised entity believes, on the basis of due and diligent enquiry and by reference to the fit and proper criteria as laid down in national and EU law, international standards, including regulations, codes of practice, guidance notes, guidelines and any other rules or directives issued by the ECB by FMA and by the European Banking Authority (EBA), that the appointee is a fit and proper person to perform the function as described in this form;

confirms that the supervised entity has made the appointee aware of the regulatory responsibilities associated with the function as described in this form;

certifies that the documents provided as annexes are a true copy of the documents kept in the archives of the supervised entity and provided by the appointee and the supervised entity itself;

confirms that s/he has authority to make this notification/application and provide the declarations given by, and sign this form on behalf of, the supervised entity;

declares that they are aware of the processing and storage of personal data in accordance with the applicable data protection regulation and [the privacy statement of the ECB.](https://www.bankingsupervision.europa.eu/home/data-protection/privacy-statements/FAP/index.en.html)

Name of institution**: [Name of Institution]**

Name: **[Name]**

Position: **[Position]**

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: **30.09.2020**

\*A material change is a change that may affect the suitability of the appointee

1. |  |  |
   | --- | --- |
   | Please enter the position selecting one of the following options:  a member of the management body in its management function  a member of the management body in its supervisory function  the chair of the management body in its supervisory function  the head of Internal Audit  a person responsible for Internal Audit  the head of the Risk Management Function  the head of Compliance Function | 2Please enter the national basis selecting one of the following options:  Article 73 (1) (3) BWG  Article 73 (1) (8) BWG  Article 28a (4) BWG  Article 73 (1) (11) BWG  Article 73 (1a) (1) BWG  Article 73 (1a) (2) BWG  Article 73 (1b) (1) BWG  Article 73 (1b) (2) BWG |

   [↑](#footnote-ref-1)