Appendix 10: Information exchange relating to the fit and proper assessment

A. Application of Article 55(1), b)

The Competent Authority of Member State B holds the information relating to the fit and proper assessment.

The Competent Authority of Member State A can request the information from the Competent Authority of Member State B.
B. Application of Article 55(1), b) and Article 55(1), c)

One of the parties of Article 55(1), c) situated in Member State B holds the information relating to the fit and proper assessment.

The Competent Authority of Member State A must examine whether it can obtain the information:

- either from the Competent Authority of Member State B that can in turn obtain the information from one of the parties situated in Member State B

- either from one of the parties situated in Member State A that can in turn obtain the information from one of the parties in Member State B
C. Application of Article 55(3) whether or not in combination with Article 55(1), b)

One of the parties of article 55(3) situated in Member State B holds the information relating to the fit and proper assessment.

The Competent Authority of Member State A must examine whether it can obtain the information:

- either from one of the parties situated in Member State B
- either from the Competent Authority of Member State B that can in turn obtain the information from one of the parties situated in Member State B
- either from one of the parties situated in Member State A that can in turn obtain the information from one of the parties in Member State B