EN
ANNEX I

Part 6 Waivers for the application of prudential requirements

	Regulation (EU) No 575/2013	Provisions	Description	Information to be provided by the competent authority	
010	**Date of the last update of the information in this template**				03.06.2026
020	**Article 7(1) and (2) (Individual waivers for subsidiaries)**	Waiver of the application on an individual basis of prudential requirements set out in Parts Two, Three, Four, Seven, Seven A and Eight of Regulation (EU) No 575/2013 and in Chapter 2 of Regulation (EU) 2017/2402	The waiver may be granted to any subsidiary of an institution, where both the subsidiary and the institution are subject to authorisation and supervision by the Member State concerned, and the subsidiary is included in the supervision on a consolidated basis of the institution which is the parent undertaking, and all conditions in letters (a) to (d) of Article 7(1) are satisfied.	Criteria to be applied by the competent authority to assess that the conditions in letters (a) to (d) of Article 7(1) are met.	*Article 30b(2) and (4) of the Austrian Banking Act (BWG) codifies procedural provisions on the submission and content of the application (Article 30b(2) BWG) and the approval by the FMA (Article 30b(4) BWG). Pursuant to Article 30b(3) BWG, the Austrian National Bank (OeNB) must in any case be heard before the FMA reaches a decision and must*
030	**Article 7(3) (Individual waivers for parent institutions)**	Waiver of the application on an individual basis of prudential requirements set out in Parts Two, Three, Four, Seven, Seven A and Eight of Regulation (EU) No 575/2013 and in Chapter 2 of Regulation (EU) 2017/2402	The waiver may be granted to a parent institution in a Member State where that institution is subject to authorisation and supervision by the Member State concerned, and it is included in the supervision on a consolidated basis, provided that the conditions set out in points (a) and (b) of Article 7(3) are satisfied.	Criteria to be applied by the competent authority to assess that there is no obstacle to the prompt transfer of own funds or repayment of liabilities and that the risk evaluation, measurement and control procedures relevant for consolidated supervision cover the parent institution in a Member State.	*Article 30b(2) and (4) of the Austrian Banking Act (BWG) codifies procedural provisions on the submission and content of the application (Article 30b (2) BWG) and the approval by the FMA (Article 30b(4) BWG). Pursuant to Article 30b(3) BWG, the Austrian National Bank (OeNB) must*
040	**Article 8(1) and (2) (Liquidity waivers for subsidiaries)**	Waiver of the application on an individual basis of liquidity requirements set out in Part Six of Regulation (EU) No 575/2013 where all conditions in letters (a) to (d) of Article 8(1) are satisfied	The waiver may be granted to institutions within a sub-group provided that these institutions satisfy the conditions laid down in letters (a) to (d) of Article 8(1). Among these the requirement that they have entered into contracts that, to the satisfaction of the competent authorities, provide for the free movement of funds between them to enable them to meet their individual and joint obligations as they become due pursuant to point (c) of Article 8(1).	Criteria to be applied by the competent authority to assess whether the conditions in letters (a) to (d) of Article 8(1) are met.	*Article 30c(4) of the Austrian Banking Act (BWG) stipulates, that the approval for the exemption under Articele 30c BWG shall be granted if sufficient evidence is presented for the fulfilment of the conditions pursuant to Article 8 of the Regulation (EU) No 575/2013. According to Article 30c(3) BWG the FMA shall*
	Article 8(3) (Cross-border liquidity waivers)	Waiver of the application of liquidity requirements set out in Part Six of Regulation (EU) No 575/2013 where institutions of the single liquidity sub-group are authorised in several member States.	The waiver may be granted to the institutions within the single sub-group whose competent authorities agree on the elements set out in letters (a) to (f) of Article 8(3) and after following the procedure set out in Article 21.	Criteria to be applied by the competent authority to assess whether the elements set out in letters (a) to (f) of Article 8(3) are met.	*Article 30c(4) of the Austrian Banking Act (BWG) stipulates, that the approval for the exemption under Articele 30c BWG shall be granted if sufficient evidence is presented for the fulfilment of the conditions*
050	**Article 9(1) (Individual consolidation method)**	Permission granted to parent institutions to incorporate subsidiaries in the calculation of their prudential requirements set out in Parts Two, Three, Four, Seven, Seven A and Eight of Regulation (EU) No 575/2013 and in Chapter 2 of Regulation (EU) 2017/2402	The permission is granted only where the parent institution demonstrates fully to the competent authorities that there is no current or foreseen material practical or legal impediment to the prompt transfer of own funds, or repayment of liabilities when due by the subsidiary incorporated in the calculation of requirements to its parent institution pursuant to Article 9(2).	Criteria to be applied by the competent authority to assess that there is no obstacle to the prompt transfer of own funds or repayment of liabilities	*No further criteria for the assessment of transfer obstacles have been defined.*
060	**Article 10 (Credit institutions permanently affiliated to a central body)**	Exemption from the application on an individual basis of prudential requirements set out in Parts Two to Eight of Regulation (EU) No 575/2013 and Chapter 2 of Regulation (EU) 2017/2402	Member States may maintain and make use of existing national legislation regarding the application of the waiver as long as it does not conflict with the Regulation (EU) No 575/2013 or Directive 2013/36/EU	Applicable national law / regulation regarding the application of the waiver	*The provision of Article 30a of the Austrian Banking Act (BWG), which regulates the affiliation of credit instiutions, constitutes one of the two central consolidation*