

**EN**  
**ANNEX I**

**PART 3**  
**Specific disclosure requirements applied to investment firms**

	<b>Directive (EU) 2019/2034</b>	<b>Provision</b>	<b>Information to be provided by the competent authority</b>	
010		<b>Date of the last update of information in this template</b>		(12/06/2023)
020	<b>Article 44(a)</b>	Competent authorities have the power to require investment firms that do not meet the conditions for qualifying as small and non-interconnected investment firms set out in Article 12(1) of Regulation (EU) 2019/2033 and investment firms referred to in Article 46(2) of Regulation (EU) 2019/2033 to publish the information referred to in Article 46 of that regulation more than once a year and to set deadlines for that publication;	Frequency and deadlines for publication applicable to investment firms	<i>The FMA decides on a case-by-case basis, whether an Investment Firm is required to make publications at intervals shorter than one year.</i>
030	<b>Article 44(b)</b>	Competent authorities may require investment firms that do not meet the conditions for qualifying as small and non-interconnected investment firms set out in Article 12(1) of Regulation (EU) 2019/2033 and investment firms referred to in Article 46(2) of Regulation (EU) 2019/2033 to use specific media and locations, in particular the investment firms' websites, for publications other than the financial statements;	Types of specific media to be used by investment firms	<i>The FMA decides on the basis of the specific requirement to prescribe special publication for certain information or types of information.</i>
040	<b>Article 44(c)</b>	Competent authorities may require parent undertakings to publish annually, either in full or by way of references to equivalent information, a description of their legal structure and governance and organisational structure of the investment firm group in accordance with Article 26(1) of this Directive and with Article 10 of Directive 2014/65/EU.	Investment firm group parent's legal structure and governance and organisational structure	<i>The FMA decides, taking into account the complexity of a group of companies, whether such publication is mandatory.</i>