1. By mail to:
Finanzmarktaufsicht (FMA)
z.Hd. Abt. III/1
Otto Wagner Platz 5
1090 Vienna
AT
**AND**
2. by email to:
shortselling@fma.gv.at

**Notification of intent to make use of the exemption under Article 17 (1) of Shortselling Regulation[[1]](#footnote-1) – Market Making**

|  |  |
| --- | --- |
| **1.** | **Details of notifying party** |
|  | Full company name |  |
|  | BIC (if available) |  |
|  | Address |  |
|  | Country |  |
| **2.** | **Contact person** |
|  | First name |  |
|  | Last name |  |
|  | Phone number |  |
|  | Email address |  |
| **3.** | **Details on market making activities** |
|  | Status of notifying party | **[ ]** credit institution**[ ]** investment firm**[ ]** third country entity as referred to in Art. 17 (8) SSR[ ]  firm as referred to in point (l) of Article 2 (1) Directive 2004/39 ECi |
|  | Market membership(MIC of trading venue)[[2]](#footnote-2) |  |
|  | Financial InstrumentsBasic Instrument[[3]](#footnote-3) |  |
|  | Detailed description of activities or indication of expected activities acc. to Art. 2(1) (k) SSR |  |
|  | Description of contractual agreement, if exists (and/or copy of contract) |  |

Date and signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Regulation EU No. 236/2012 of the European Parliament and the Council of 14 March 2012 on short selling and certain aspects of Credit Default Swaps (SSR) [↑](#footnote-ref-1)
2. Trading venue according to Article 2 (1) (l) where membership is held and where the financial instruments are traded [↑](#footnote-ref-2)
3. Share (ISIN), Sovereign Issuer of debt instrument (description) or CDS (ISIN or description), you can also provide list in separate spreadsheet [↑](#footnote-ref-3)